

05-14/01

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PTO/SB/05 (11-00)

Please type a plus sign (+) inside ☐Approved for use through 10/31/2002. OMB 0651-0032. U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE.  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

210121.475C10

First Inventor

Michael J. Lodes

Title

COMPOSITIONS AND METHODS FOR  
THE THERAPY AND DIAGNOSIS OF  
LUNG CANCER

Express Mail Label No.

EL615487651US

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.3. ☒ Specification [Total Pages 158]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_\_\_]

5. Oath or Declaration [Total Sheets \_\_\_\_\_]

- a.
- ☐
- Newly executed (original or copy)
- 
- b.
- ☐
- Copy from a prior application (37 CFR 1.63 (d))
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- (for a continuation/divisional with Box 18 completed)
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- i.
- ☐
- DELETION OF INVENTOR(S)**
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- Signed statement attached deleting inventor(s) named in
- 
- the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)8. ☒ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)a. ☒ Computer Readable Form (CRF)b. ☒ Specification Sequence Listing on:i. ☐ CD-ROM or CD-R (2 copies); orii. ☒ paperc. ☒ Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)  
Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C.  
Applicant must attach form 122(b)(2)(B)(i).  
PTO/SB/35 or its equivalent.17. ☐ Other: \_\_\_\_\_18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary  
amendment, or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) ☒ of prior application No. 09/738,973  
Prior application information Examiner: not assigned Group Art Unit: not assignedFor **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or  
declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or  
divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a  
portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☐ Correspondence address below

or:

☒ Customer Number or Bar Code LabelAttorney Jane E. R. Potter

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Country \_\_\_\_\_

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Fax \_\_\_\_\_



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(Attorney/Agent)

33,287

Signature James M. VernaDate May 11, 2001Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount  
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